

On The Effect of Specific Boundary Flux Parameters on Membrane Process Design

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The boundary flux concept permits to describe the fouling behaviour of membrane systems as a function of the operating time. The method relies on a set of equations that is possible to integrate in time, thus permitting to evaluate the separation process outcome and performances.

This study focuses on the relationship between the membrane area requirements and specific parameters of the boundary flux concept on different membrane systems characterized by different waste feed streams and operating conditions. The target of the analysis was to identify which parameters of the boundary flux equations are the most influent one on the membrane area requirements. The knowledge of the relevant parameters can strongly assist membrane process designers to minimize the capex of the developed plants.

The study was performed on many different systems, available in literature, and at different operating conditions.

The adopted procedure was as follows:

1. in a first step, by using infinite membrane area, the maximum recovery value Y_{max} of a specific membrane separation process was determined.
2. afterwards, the separation target was equally fixed at volume unity of feed (1 m^3) produced during time unity (1 h) at three different recovery values, that is Y equal to 50% Y_{max} , 75% Y_{max} and 90% Y_{max} , respectively. The required membrane area below boundary conditions and no irreversible fouling formation was calculated by means of the simulation code, using a Newtonian trial&error procedure.

1. Introduction

One of main drawback of membrane technologies is membrane fouling, which significantly reduces the membrane performances in a short amount of time. The phenomenon of membrane fouling is strictly connected to the formation of a gel like cake layer over the surface of the membrane due to polarization; the growth rate and consistency of this fouling layer is a function of different parameters such as feed stream characteristics (including different pretreatment processes, such as prefiltration, adsorption, oxidation, photocatalysis by nanocatalysts, bio-nanotechnologies, Fenton), chemo-physical properties of the solutes, particle sizes, selectivity and pore dimensions of the membrane (Vilardi et al., 2018a; Stoller et al, 2017; Stoller et al., 2018a; Stoller et al., 2018b; Vilardi et al., 2019).

It is possible to make a distinction between three types of fouling, called reversible, semi-reversible and irreversible, respectively. The difference of these types is the possibility to clean or wash the membrane in order to completely restore the initial permeability values: this is possible only in case reversible fouling is washed by water. In case of semi-reversible fouling, a partial restore of the permeability values of the membrane is allowed by chemical cleaning (semi-reversible fouling). Finally, when irreversible fouling forms, there is no possibility to gain again back the previous membrane performances. Considering that membrane fouling cannot be completely avoided, it appears to be best practice to operate the membrane process in such operating conditions where only reversible fouling is formed, that is at permeate fluxes below the boundary flux value J_b (Chang et al, 2006). This operating strategy constraints the adoptable value of TMP used during processing (Vilardi et al, 2018b). As a consequence, to meet specific target capacities in term of permeate

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flow rates of the membrane plant, a proper amount of membrane area must be provided. The choice of this latter requirement is a specific task within the design of membrane processes. The calculation and specification of the requirement in membrane area is not an easy task, since it should guarantee not only the target capacities of the process but has to consider the decrease in performances given by fouling as a function of time. In other words, membrane fouling, even in its reversible form, will lead to a reduction of the permeability of the membrane, and this aspect needs to be compensated by an additional amount of membrane area which has the objective to host the fouling (Vrijenhoek et al, 2001)

In this work, membrane process operating data was taken from literature and a simulation code was used to calculate the separation outcome and performances of different feed stock streams, in order to determine the membrane area requirements for a specific task. The simulation code was implemented as an Excel spreadsheet including VBasic libraries and enabled macros. In a second step, the influence of membrane fouling expressed as J_b and α values, as well as other membrane characteristics such as the pure water permeability w and operating conditions such as the recovery factor Y on the membrane area requirements are checked. Normally, all these parameters are not a choice of the process designer, since strictly connected to the given system. As a consequence, the key parameter that might be controlled during operation to avoid the formation of irreversible fouling appears to be the applied transmembrane pressure value (TMP).

In conclusion, it can be said that it might be interesting to investigate further about the economic impact of the different design choices and that only through a continuous study about the fouling formation the membrane plants can become competitive respect to the others common waste treatments (Vuppala et al, 2018).

2. Methods

The phenomenon of fouling is linked mainly to two different possible causes, that is polarization and aging of the membrane. When irreversible fouling is formed, it cannot be eliminated by any cleaning or washing procedure, being the main culprit to premature membrane failure and complete loss of productivity in a short period of time. It appears therefore mandatory to avoid irreversible fouling to permit a long-term operation of membranes (Van der Bruggen et al, 2008)

One method to avoid triggering irreversible fouling on membranes is to operate below the boundary flux. Starting point of the concept is the critical flux introduced by Field et al. (Field et al, 1995). Shortly expressed, the critical flux (Mänttari and Nyström, 2000) is equal to the one below which no fouling occurs. However, this concept did not always apply well to the observed experimental data, especially on those systems treating wastewater streams (Ochando-Pulido Stoller, 2015).

Indeed, it appears that a feedstock characterized by high amounts of suspended solid leads unavoidably to the formation of fouling even operating below the critical point. Successively, Field et al. introduced another concept, that is the threshold flux that divides a low fouling region, characterized by a constant rate of fouling, from a high fouling one (Stoller et al, 2013). Finally, Stoller and Ochando-Pulido merges the two concepts into a new one, that is the boundary flux (Stoller and Ochando-Pulido, 2014a).

The correspondent equations are hereafter reported:

$$\frac{dm}{dt} = -\alpha \quad ; J_p(t) \leq J_b \quad (1)$$

$$\frac{dm}{dt} = -\alpha - \beta (J_p(t) - J_b) \quad ; J_p(t) > J_b \quad (2)$$

where:

- α , expressed in [$l \text{ h}^{-2} \text{ m}^{-2} \text{ bar}^{-1}$], represents the constant permeability reduction rate suffered by the system called the sub-boundary fouling rate index. It is a constant, valid for all flux values;
- β , expressed in [$l^{-1} \text{ bar}^{-1}$], represents the fouling behavior in the exponential fouling regime of the system, called super-boundary fouling rate index. It is variable as a function to the transmembrane pressure (TMP);
- m , expressed in [$l \text{ h}^{-2} \text{ m}^{-2} \text{ bar}^{-1}$], represents the membrane permeability;
- $J_p(t)$, expressed in [$l \text{ h}^{-2} \text{ m}^{-2}$], represents the permeate flux depending on the operating time.

The evaluation of a value for the boundary flux is not possible by theory but requires the interpretation of available experimental data on the specific system under analysis. Once defined, since boundary flux values change as a function of time even on the same system, proper simulation of the process must be performed to assure sub-boundary flux conditions during all operation.

In this work, membrane process operating data was taken from literature and a simulation code was used to calculate the separation outcome and performances of different feed stock streams, in order to determine the membrane area requirements for a specific task. In particular, the separation at different recovery factors Y of $1\text{m}^3/\text{h}$ in continuous operation at a controlled permeate flow rate was studied. Being J_p^* the project value of the desired and controlled permeate flow rate, the membrane area requirement can be calculated as soon as a constraint adopting a value of J_p^* equal to J_b was adopted, in order to guarantee that the operation is performed in sub-boundary flux conditions.

The analysis was made using a different membrane classes subdivided in function of the different pore size: ultrafiltration, microfiltration, and nanofiltration (UF, MF, NF) (Stoller et al, 2016). Within each class, the simulation code was used on different feed streams found in literature. In order to run the simulation, some input parameters are required, concerning feed stream characteristics ($KP(0)$), productivity (p_1 , m_1 , w), selectivity (σ , γ) and membrane fouling (J_b , TMP_b , α). The details of the used equation set and the relevant nomenclature of the used variables within this paper are reported elsewhere (Stoller and Ochando Pulido, 2014b). In a first step, an infinite membrane area was fixed in order to determine the maximum possible recovery value Y_{max} for each different feed stream. Then, the required membrane areas to reach pre-determined separation targets, in detail 50%, 75% and 90% of Y_{max} , respectively, were evaluated by adopting a Newtonian trial&error procedure. At the end, the obtained results in terms of membrane area requirements were analysed on those input parameters resulting the most influent one: the pure water permeability w and the boundary flux value J_b .

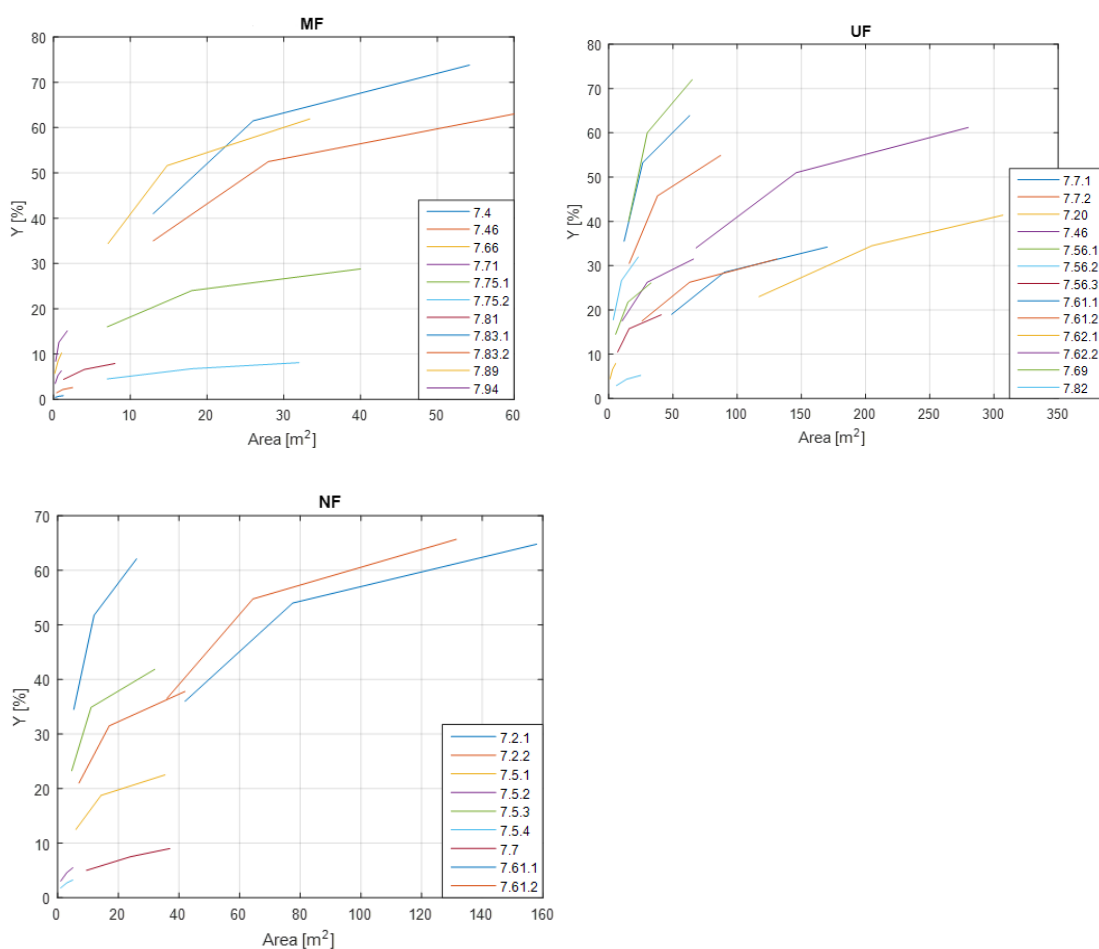


Figure 1: Membrane area requirement vs Y for different feed streams: MF, UF and NF, respectively (caption)

3. Results and discussion

The obtained results in terms of membrane area requirement as a function of Y are reported in Figure 1, for MF, UF and NF, respectively. The given reference number of the plotted lines are the respective reference number of the tables reported in the boundary flux handbook (Stoller and Ochando Pulido, 2014b).

It is possible to observe that all the plots are similar in shape, characterized by a first part ranging from Y50% to Y75% having higher angular coefficient when compared to the second one, from Y75% to Y90%. Consequently, the following analysis to check for some empirical relationship between w and/or J_b will be divided in two parts, regarding the different relative Y ranges. Concerning the first part, ranging from Y50% to Y75%, to highlight the dependency, selected plots from UF and NF were reported in Figure 2 and the relevant data in Table 1.

Table 1: Relevant data of the selected plots in Figure 2

UF				NF			
Plot ID	$\Delta A/\Delta Y$	w	J_b	Plot ID	$\Delta A/\Delta Y$	w	J_b
7.46	0.78	72.0	25.0	7.2.1	0.27	15.3	84.0
7.61.1	1.64	5.2	8.2	7.2.2	0.40	14.3	45.0
7.61.2	1.48	5.2	10.0	7.5.1	0.33	9.8	35.6
7.82	0.26	234.8	84.6	7.61.1	1.42	2.5	11.8
				7.61.2	1.14	2.5	14.3

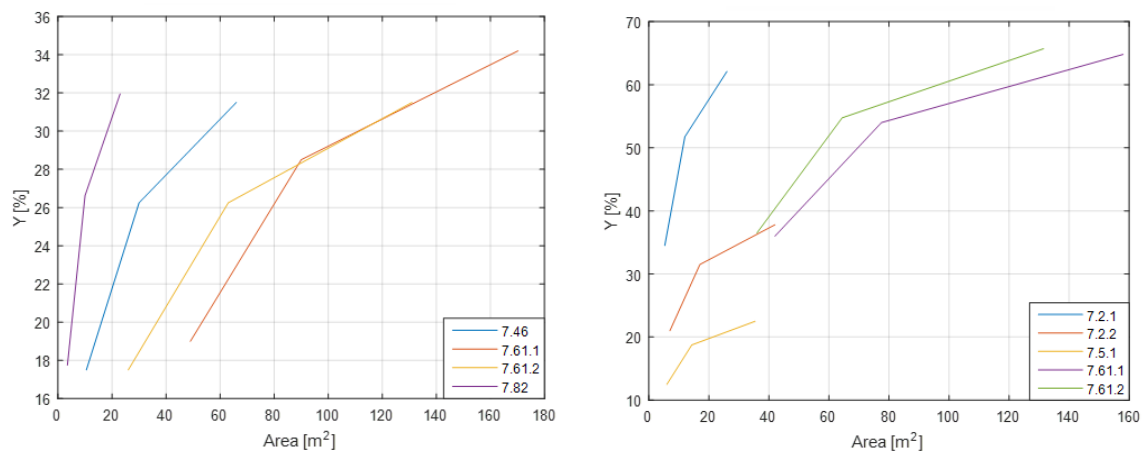


Figure 2: Selected plots from Figure 1, UF (left) and NF (right), respectively

From Table 1 it is possible to observe that for both membrane classes there is a relationship between $\Delta A/\Delta Y$ and w , whereas none can be observed towards J_b . The same property was confirmed for MF, too. Concerning the second part, ranging from Y75% to Y90%, to highlight the dependency, selected plots from UF and NF were reported in Figure 3 and the relevant data in Table 2.

From Table 2 it is possible to observe that for both membrane classes there is a relationship between $\Delta A/\Delta Y$ and J_b , whereas none can be observed towards w . The same property was confirmed for MF, too.

Table 2: Relevant data of the selected plots in Figure 3

UF				NF			
Plot ID	$\Delta A/\Delta Y$	w	J_b	Plot ID	$\Delta A/\Delta Y$	w	J_b
7.56.1	1.20	65.0	44.6	7.2.1	0.93	15.3	84.0
7.66.2	0.73	16.0	8.3	7.2.2	1.67	14.3	45.0
7.82	0.87	234.8	84.6	7.5.1	1.41	9.8	35.6
				7.5.3	1.40	14.3	81.3

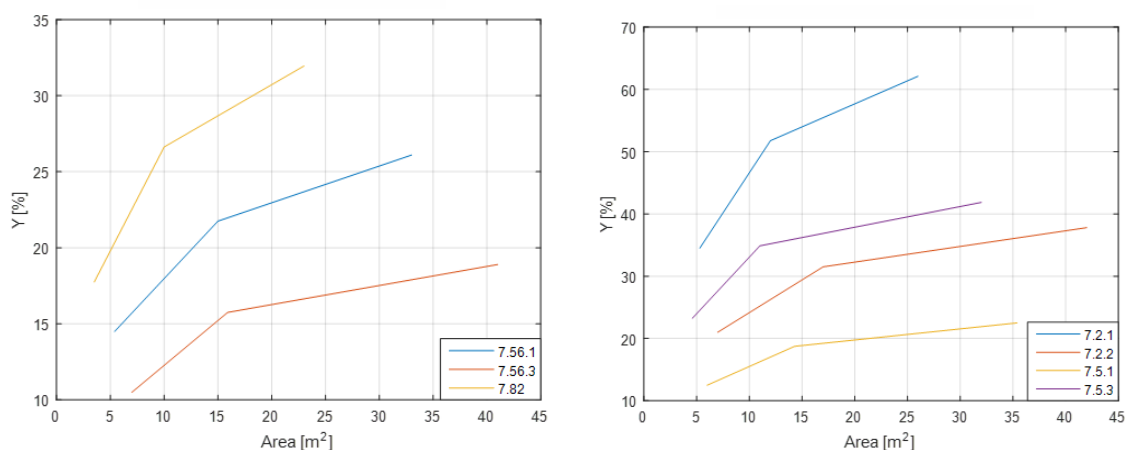


Figure 3: Selected plots from Figure 1, UF (left) and NF (right), respectively

4. Conclusions

The membrane area is the most relevant parameter for a correct design of a relative plant. In fact, the investment costs of a given plant capacity in terms of membrane area and obtainable permeate flow rates are strictly dependent on these values. The performed work by adopting the simulation code has permitted to explore further the relationship between membrane area requirement and specific parameters considering membrane fouling, in order to assist optimized membrane process design.

The obtained results from this study shows that no particular attention must be given to fouling problems in those systems that are not strongly pushed in terms of recovery up to $Y_{75\%}$; in this case, membranes with high w value are preferred and achieves the same plant capacities with a small variance in membrane area within the range $Y_{50\%}$ - $Y_{75\%}$. On the contrary, systems that exceeds a Y value of 75% or above, needs a careful estimation and a maximization of the relevant J_b value to allow the minimization of the membrane area requirements. In this case, an increase of the J_b value is desirable, and this can be achieved by pre-treatment tailoring or use of upper membrane classes (Stoller and Bravi, 2010).

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