

# Process Optimization and Kinetic Study of Multiwalled Carbon Nanotube Synthesis

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In this work is presented a kinetic study of carbon nanotube production in a pre-pilot fluidized bed reactor. A supported catalyst, namely  $\text{Fe}_2\text{O}_3$  over  $\gamma$ -alumina is used with ethylene as carbon source. We investigate the influence of parameters, such as temperature, ethylene partial pressure, iron weight fraction and catalyst load. In order to support the study, samples were characterized by X-ray powder diffraction (XRPD), thermogravimetric analysis (TGA) and scanning electron microscopy (SEM). An empirical kinetic model is proposed. Process optimization results suggest the operating variables to be set in a possible scaling up of the process.

## 1. Introduction

Carbon nanotubes (CNTs) are smart materials, as they exhibit outstanding physical and chemical properties. The main CNTs application nowadays is as filler in polymeric nanocomposite materials, as they improve mechanical, thermal and electrical properties of the matrix. However, we can find CNTs in many other fields, for example in nanoelectronics, as they will probably replace silicon in computer processors, in catalysis, as support for catalyst dispersion, in biosensing and drug delivery (Volder et al., 2013). Then, the market of carbon nanotubes is continuously growing (Nanowerk, 2013) and both researchers and companies are focusing their interest in optimizing the synthesis, in order to lower the production costs. The cheapest way to produce CNTs is catalytic chemical vapor deposition (CCVD), which allows to obtain CNTs of high quality and purity. The use of hydrogen as reducing agent and amorphous carbon remover is quite common, but it truly affects process costs and safety. Moreover, in a previous kinetic study (Pellegrino et al., 2012) we have evidenced that hydrogen has a zero reaction order towards CNT synthesis; this aspect has led us to avoid the use of hydrogen, in order to investigate the catalyst behavior under these conditions.

## 2. Experimental

Carbon nanotubes are synthesized in a fluidized bed reactor (diameter = 0.15 m, height = 1m), which is a quartz tube equipped with a gas distributor (pore size  $40 \div 100 \mu\text{m}$ ). The reactor is surrounded by a four zone electrical furnace (Amarc DHP ® FE 1100-4) and the outlet gas feed composition is discretely analysed by a gas chromatograph (Agilent microGC 3000). The total flow rate is fixed to  $0.33 \cdot 10^{-3} \text{ Nm}^3/\text{s}$ , total pressure is 1 atm, while the other operating conditions, which depend on the parameter object of variation, are summarized in Table 1. Catalyst is prepared according to a procedure previously developed by our research group (Mazzocchia et al., 2010). Catalyst and product samples are then characterized by SEM (SEM, ZEISS EVO® 50EP), XRPD (Bruker D8) and TGA (TA Instruments SDT Q600).

Table 1: Operating conditions for each run; where  $\omega_{Fe}$  = weight iron fraction,  $m_{cat}$  = catalyst load

Run	T [°C]	$P_{C_2H_4}$ [-]	$\omega_{Fe}$ [-]	$m_{cat}$ [Kg]	$m_{Fe}$ [Kg]
1	625	0.1	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
2	625	0.2	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
3	625	0.3	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
4	625	0.4	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
5	625	0.5	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
6	625	0.2	0.1	$30 \cdot 10^{-3}$	$2.887 \cdot 10^{-3}$
7	625	0.2	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
8	625	0.2	0.15	$40 \cdot 10^{-3}$	$5.636 \cdot 10^{-3}$
9	625	0.2	0.2	$40 \cdot 10^{-3}$	$7.638 \cdot 10^{-3}$
10	625	0.2	0.25	$40 \cdot 10^{-3}$	$8.624 \cdot 10^{-3}$
11	625	0.2	0.1	$50 \cdot 10^{-3}$	$4.795 \cdot 10^{-3}$
12	575	0.2	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
13	600	0.2	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
14	625	0.2	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$
15	650	0.2	0.1	$40 \cdot 10^{-3}$	$3.836 \cdot 10^{-3}$

### 3. Results and discussion

#### 3.1 Kinetic study

The kinetic study of carbon nanotube production is the objective of many researchers (Dasgupta et al., 2011). Yet, it is possible to find in literature (Philippe et al., 2009) some works that investigate the kinetic behavior of the CVD process, but they are mainly focused on systems in which hydrogen is fed continuously (Verykios et al., 2011). Conversely, here a kinetic study for a process without  $H_2$  feeding is proposed. The reaction rate of CNT formation depends on the ethylene partial pressure, the iron load into the catalyst and the reaction temperature. We can assume that the kinetic power law is expressed as follows Eq(1).

$$R1 = A \cdot P_{C_2H_4}^\alpha \cdot m_{Fe}^\beta \cdot e^{\frac{-E_{att}}{R \cdot T}} \quad (1)$$

Where:  $P_{C_2H_4}$  is the ethylene partial pressure [bar],  $m_{Fe}$  is the iron mass into the catalyst [Kg], R is the universal gas constant [ $8.314 \text{ Jmol}^{-1}\text{K}^{-1}$ ], T is the temperature [K], while  $\alpha$  and  $\beta$  are the reaction orders. Reaction rate could be calculated from material balance in the reactor (Eq(2)):

$$R1 = \frac{n_{C_2H_4}^{in} - n_{C_2H_4}^{out} - \sum v \cdot n_C^{out}}{m_{Fe}} \quad (2)$$

Where  $n_{C_2H_4}$  is the ethylene molar flow rate (mol/s) v is the stoichiometric coefficient and  $n_C$  is the carbon molar flow rate, related to other light carbon byproducts, namely ethane and methane.

As the reaction rate obtained from Eq(2) is calculated basing on the data retrieved by gas chromatography, the trend during reaction time is affected from scattering, then, in order to improve accuracy, R1 is calculated from the first derivative of productivity, whose expressions for punctual and cumulative respectively are Eq(3):

$$P_{CNT} = R1 \cdot v \cdot \Delta t, P_{CNT,k+1} = P_{CNT,k} + R1_{k+1} \cdot v \cdot \Delta t \quad (3)$$

Where  $\Delta t$  is the injection time during a gas chromatograph analysis and R1 is calculated from Eq(2). Productivity is expressed as the product of reaction rate ( $\text{mmol} \cdot \text{min}^{-1}$ ), the stoichiometric coefficient and time (min). In order to better rely the gas chromatographic data with productivity (mmol), Eq(3) is used to calculate productivity in the gas chromatograph injection time ( $\Delta t$ ). The first derivative is calculated at the maximum of R1 from Eq(2), in order to avoid activation-deactivation effects. Therefore, the logarithm of these values vs. the logarithm of ethylene partial pressure is plotted in Figure 1a. These data are collected from runs 1 to 5 (Table 1) and then linear fit of the data is performed. The so deduced slope of the line corresponds to the reaction order  $\alpha$  of 0.9792. The reaction order  $\beta$ , related to iron mass, is obtained in the same way (Figure 1b) using data from runs 6 to 11 and the value in this case is -0.9554. This negative value is probably due to the dispersion of iron oxide onto the support, which is better when a lower iron oxide amount is present and,

consequently, carbon solubility into the active phase is better. Runs 11 to 15 were used to obtain the activation energy, which is the slope of the line represented in Figure 1c. The value obtained in this case is  $109703 \text{ Jmol}^{-1}$ . The line intercept of Figure 1c is the pre-exponential factor, which includes the contribution of ethylene partial pressure and iron mass.

Therefore, the  $A$  constant in Eq(1) is calculated as:

$$k = A \cdot \frac{P_{C_2H_4}^{0.9792}}{m_{Fe}^{0.9554}} \quad (4)$$

Where  $k$  is the intercept. Finally, the kinetic expression for carbon nanotubes synthesis can be expressed as:

$$R1 = 1420720786 \cdot \frac{P_{C_2H_4}^{0.9792}}{m_{Fe}^{0.9554}} \cdot e^{\frac{-109703}{R \cdot T}} \quad (5)$$

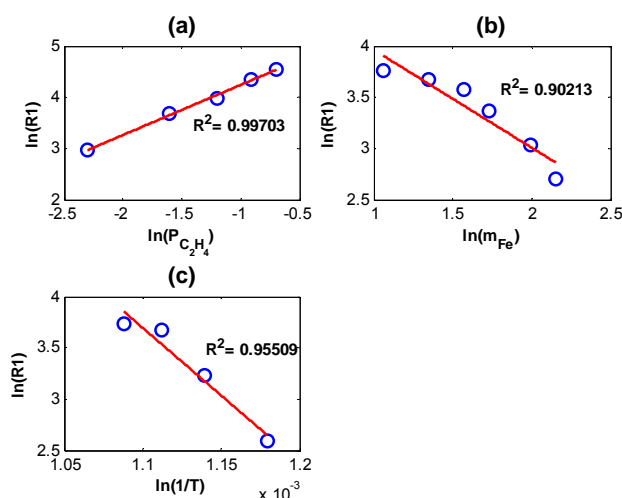


Figure 1: Reaction order calculations for (a) Ethylene concentration, (b) iron load and (c) Activation energy.

The reaction rate obtained from the model (Eq(5)) is compared with the experimental values obtained from Eq(2). Data are presented in Figure 2 and the mean difference between theoretical and experimental values is around 10 % for ethylene, 15 % for iron and 0.85 % for temperature. Therefore a good agreement is achieved, although there is always a negative deviation (observed after several fitting repetition) between experimental and theoretical data. This may be related to the experimental apparatus and/or some systematic error during procedure. We are currently investigating to find the origin of this deviation, in order to improve model trustworthiness.

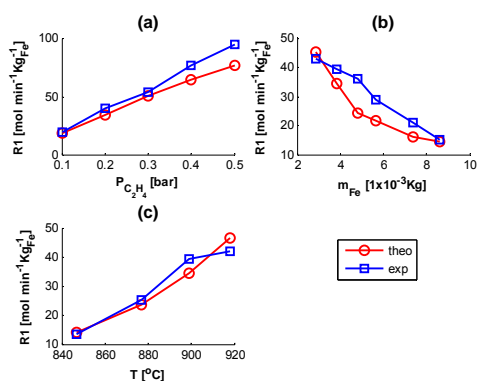


Figure 2: Comparison between theoretical and experimental values: (a) ethylene partial pressure, (b) iron weight load, (c) synthesis temperature.

### 3.2 Comparison between feeding and not feeding H<sub>2</sub> : process optimization

The most important characterization for carbon nanotubes is thermogravimetric analysis (TGA), which allows to detect their presence univocally, showing the characteristic combustion temperature. Another important result obtainable from TGA is the carbon weight percentage and hence sample purity. As an example In Figure 3a, the TGA of run 5 is illustrated, that evidences the exclusive presence of carbon nanotubes. Furthermore, Figure 3a **Errore. L'origine riferimento non è stata trovata.** reveals that, as a result of process optimization, a high CNT purity (86.80 %) was obtained.

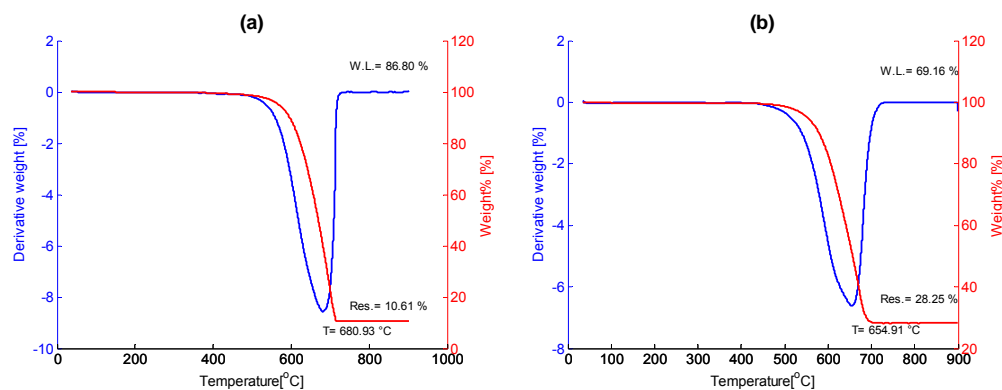


Figure 3: (a) TGA of run 5 and of H<sub>2</sub> test (b)

Figure 3b shows a TGA of CNTs obtained feeding H<sub>2</sub>. Also in this sample, no amorphous carbon is present and purity is also acceptable, but well lower (69.16 %) than in the previous case. This is another advantage of not feeding H<sub>2</sub> during CNT synthesis. This comparison also evidences the influence of operating conditions in terms of CNT purity. In fact, the H<sub>2</sub> test was carried out in the same conditions of run 5, except for catalyst load, that was 100•10<sup>-3</sup> kg instead of 40•10<sup>-3</sup> kg. Then the right choice of catalyst load  
Some important information could be also obtained by SEM images. Figure 4 (left) shows the catalyst (10% Fe) morphology, in which a  $\gamma$ -alumina grain with Fe<sub>2</sub>O<sub>3</sub> (white spots) are evident.

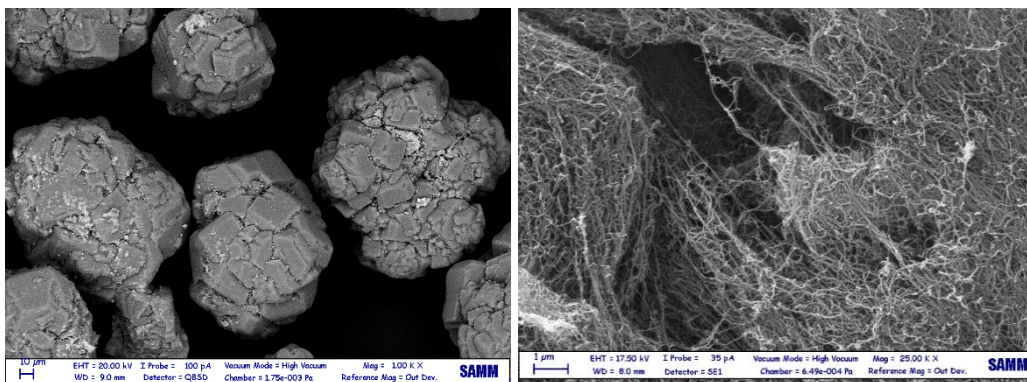


Figure 4: SEM image of catalyst Fe<sub>2</sub>O<sub>3</sub>/Al<sub>2</sub>O<sub>3</sub> (left) and CNT (right)

It is worth noting that such particles are well dispersed in the support, indicating that no anomalies occurred during the preparation phase. The high selectivity towards CNTs is also evidenced in Figure 4 (right), as no other carbon species except CNTs are visible. Figure 5 shows a comparison between feeding or not feeding H<sub>2</sub> in terms of ethylene conversion and CNT selectivity. It is possible to observe that ethylene conversion is higher in the time range 0 - 40 min, for the H<sub>2</sub> feeding test, while a trend inversion occurs after 40 min. This can be related to the phase transformations of iron species during CNT synthesis. As it will be outlined further, CNT growth does not occurs directly from Fe<sub>2</sub>O<sub>3</sub> particles, but from other iron compounds, which come from Fe<sub>2</sub>O<sub>3</sub> evolution. The formation of these compounds, namely iron carbide (Fe<sub>3</sub>C), magnetite (Fe<sub>3</sub>O<sub>4</sub>), wustite (FeO) and iron (Yoshida et al., 2008), is more favored in a more reducing environment. Yet, the difference of performance in terms of selectivity is more remarkable, as the presence of high H<sub>2</sub> amount hydrogenates

ethylene, yielding ethane, which also can produce CNTs from its cracking, but it is less reactive than ethylene. Therefore, such carbon source consumption determines the lack of selectivity for CNTs produced feeding  $H_2$ .

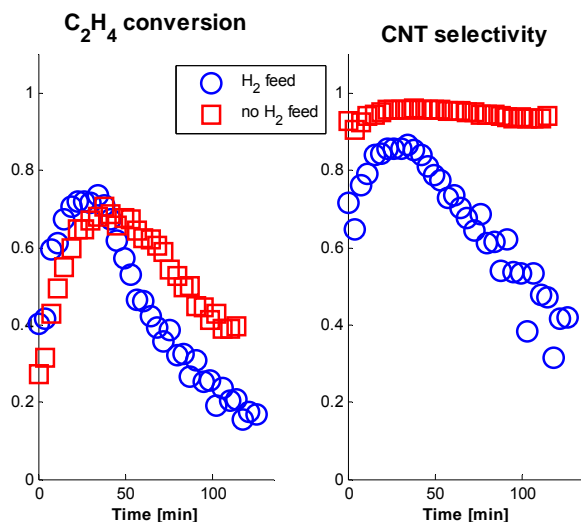


Figure 5: Comparison between feeding and not feeding  $H_2$  in CNT synthesis by CVD.

Following the data represented in Figure 5, two tests one with 30% v/v  $H_2$  feeding (RED) and one with only  $C_2H_4$  and  $N_2$  (NO RED) were ending both syntheses after 40 min. Then XRPD data were collected (Figure 6) and, although the pattern interpretation is quite hard, due to phase crystallinity, there is a little difference between the two samples. In both ones it is possible to recognize graphitic carbon, alumina and iron carbide ( $Fe_3C$ ), although the signal-to-noise ratio is not excellent. No  $Fe_2O_3$  peaks are present, indicating that it has been consumed, yielding iron carbide (Pellegrino et al., 2013). The RED pattern (top spectrum), shows also the presence of metallic iron. Then, it is possible to explain the ethylene conversion trend shown in Figure 5. Iron carbide formation is more favored in a more reducing atmosphere (Esconjauregui et al., 2009), so in the early stages of synthesis, RED shows a better performance. Once this “activation” occurs in the system without  $H_2$ , there is an inversion trend and conversion is higher in NO RED case.

As regards process optimization, the tests shown in Table 1, in addition to data collected in the kinetic study, were useful for setting the optimum operating conditions of the process. During synthesis of carbon nanotubes two undesired phenomena may occur: the low catalyst performance and the bed agglomeration. Once this latter phenomenon happens, there is no more the condition of fluidized bed, as the solid aggregates in a hard block. Therefore, product quality and process conduction are truly affected. When a temperature below  $575^\circ C$  was set, catalyst activity was not satisfactory, while if one operates above  $650^\circ C$ , agglomeration occurs: so, a compromise has to be found.

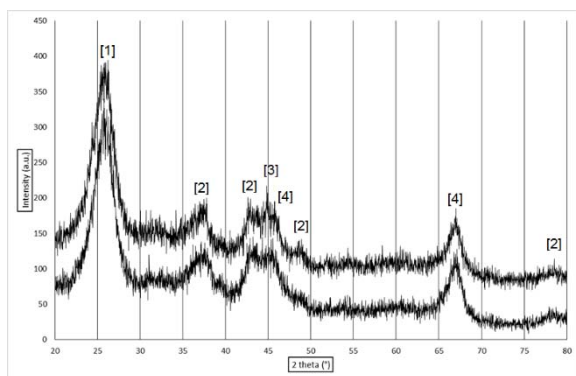


Figure 6: XRPD pattern of RED (top spectrum) and NO RED (bottom spectrum) samples. 1 refers to graphite peak, 2 to  $Fe_3C$  peaks, 3 to  $\alpha$ -iron, 4 to  $\gamma$ - $Al_2O_3$

The best catalyst performance was observed operating at 625 °C. Agglomeration phenomena increase as ethylene volume fraction increases, while tests using a volume fraction of ethylene below 0.2 % entail a low reaction rate. Therefore, in order to optimize process costs, it can be concluded that 0.2 % volume fraction of ethylene represent the right amount of carbon source feeding. The catalyst load was set to  $40 \cdot 10^{-3}$  kg, because, basing on TGA, allows to achieve the highest CNT purity and the activity is also satisfactory. The best iron weight percentage was chosen to be 10% wt., as a compromise between the negative reaction order with respect iron amount and catalyst activity. Moreover, the catalyst above 25% wt. of iron caused agglomeration problems, which may due to bigger catalyst particle size.

#### 4. Conclusions

The kinetic study presented in this work, allows to formulate an empiric kinetic equation for the catalyst not reduced with hydrogen. A negative order with respect iron was found, suggesting that lower iron loading allows to better disperse the active phase on the support. Process optimization was performed at the same time, indicating the best set of operating variables. Finally, avoiding H<sub>2</sub> feed, improves the process, in terms of production costs, safety and catalyst performance.

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